

10/572730
IAP20 Rec'd PTO 21 MAR 2006

VIA Express Mail EV786328877US
Docket Number
40404.30/tt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hiroshi OJI Serial No.: Currently unknown Filing or 371(c) Date: Concurrently herewith Title: SEMICONDUCTOR DEVICE HAVING HIGH-K GATE DIELECTRIC LAYER AND METHOD FOR MANUFACTURING THE SAME	International Application No.: PCT/JP2005/11260 International Filing Date: June 20, 2005
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INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant herewith submits the attached Form PTO-1449. Reference Nos. 1, 2, and 4-9 were cited in the attached International Search Report for PCT Application No. PCT/JP2005/11260.

The relevance of Reference No. 3 is discussed on page 1 of the substitute specification filed concurrently herewith.

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The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicant respectfully requests that the cited references be made of record in the subject application.

Respectfully submitted,

Date: March 21, 2006

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PTO/SB/08A (04-03)

Substitute for form 1449/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	101572730
		Filing Date	
		First Named Inventor	Hiroshi OJI
		Art Unit	
		Examiner Name	
Sheet	1	of	2
		Attorney Docket Number	40404.30/tt

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number-Kind Code ⁵ (if known)				
	3	JP 2002-313950 (with English Abstract and machine translation)	10-2002	JAPAN		X
	4	JP 9-191108 (with English Abstract and machine translation)	07-1997	JAPAN		X
	5	JP 2-28971 (with English Abstract)	01-1990	JAPAN		X
	6	JP 3-276730 (with English Abstract)	12-1991	JAPAN		X
	7	JP 2000-353756 (with English Abstract and machine translation)	12-2000	JAPAN		X
	8	JP 5-183159 (with English Abstract and machine translation)	07-1993	JAPAN		X

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ²See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the

reign of the Emperor must precede the serial number of the patent document. - Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

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		First Named Inventor	Hiroshi OJI
		Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	40404.30/tt

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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¹ Applicant unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.